| OIFE   |                             |                     |                         |                                      |                                       | PTO/SB/2   |
|--|-----------------------------|---------------------|-------------------------|--------------------------------------|---------------------------------------|--|
| JAN 1 6 ZORANSMITTAL<br>FORM   |                             |                     | Applica                 | ation Number                         | 10/057,4                              | 75   |
|  |                             |                     | Filing I                | Date                                 | January :                             | 22, 2002   |
|  |                             |                     | First N                 | lamed Inventor                       | Gaiger, A                             | Alexander  |
| (to be used for all correspondence after initial filing)   |                             | Art Un              | it ·                    | 1645                                 |                                       |  |
| California de la calendaria de la calend |                             |                     | Exami                   | ner Name                             | Not yet a                             | ssigned  |
| Total Number of Pages in<br>Submission   | This                        |                     | Attorne                 | ey Docket Number                     | 014058-0                              | 014402US   |
|  |                             | ENC                 | LOSURE                  | S (Check all that app                | ly)                                   |  |
| Fee Transmittal Form   | 1                           | ☐ Drawin            | ng(s)                   |                                      | After                                 | Allowance Communication to G   |
| Fee Attached   |                             | Licens              | ing-relate              | d Papers                             |                                       | al Communication to Board of Anterferences                           |
| Amendment/Reply  |                             | Petition            | n                       |                                      | П Арре                                | al Communication to Group (Ap<br>e, Brief, Reply Brief)              |
| After Final  |                             |                     | n to Conv<br>ional Appl |                                      | Propr                                 | rietary Information  |
| Affidavits/declar  | Provis                      |                     | of Attorne              | ey, Revocation<br>espondence Address | Statu                                 | s Letter   |
| Extension of Time Request  |                             | Terminal Disclaimer |                         |                                      | r Enclosure(s)<br>re identify below): |  |
|  |                             |                     | Request for Refund      |                                      | Return Po                             | stcard   |
| Express Abandonine   | Express Abandonment Request |                     | CD, Number of CD(s)     |                                      | Copy of In                            | ternational Search Report  |
|  | re Statement                |                     |                         |                                      | Cited Refe                            | erence 1   |
| Certified Copy of Priority Document(s)   |                             | Rema                | rks                     | The Commissioner is Account 20-1430. | authorized to                         | o charge any additional fees to l                                    |
| Response to Missing  |                             |                     |                         | l                                    |                                       |  |
| Response to Mi under 37 CFR 1  | ssing Parts                 |                     |                         |                                      |                                       |  |
|  |                             |                     |                         | ICANT, ATTORNEY                      | , OR AGEN                             | ·  |
| or   | wnsend and T                | ownsend a           | nd Crew                 |                                      | 40.004                                |  |
| Individual Ca  | arol A. Fang                |                     |                         | Keg. N                               | lo. 48,631                            | ,  |
| Signature  | Cont                        | 7                   | }_                      | _                                    |                                       |  |
| Date   | 1/13/1                      | 54                  | ·                       |                                      |                                       |  |
|  |                             | CERTIFIC            | ATE OF                  | TRANSMISSION/M                       | AILING                                |  |
| I hereby certify that this corresp<br>as first class mail in an envelop  |                             |                     |                         |                                      |                                       | states Postal Service with sufficient a 450 on the date shown below. |
| Typed or printed name  | Aaron Hoka                  | mura                |                         |                                      |                                       |  |
| Signature  | Burn                        | Henry               | ,                       |                                      | Date                                  | 01/3/04  |

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Attorney Docket No.: 014058-014402US

Client Reference No.: 494C2

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

TOWNSEND and TOWNSEND and CREW LLP

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

GAIGER et al.

Application No.: 10/057,475

Filed: January 22, 2002

For: COMPOSITIONS AND METHODS FOR THE DETECTION, DIAGNOSIS

AND THERAPY OF

HEMATOLOGICAL MALIGNANCIES

Examiner: Not yet assigned

Art Unit: 1645

SUPPLEMENTAL INFORMATION **DISCLOSURE STATEMENT UNDER 37** 

CFR §1.97 and §1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The reference cited on attached form PTO/SB/08 is being called to the attention of the Examiner. A copy of the reference is enclosed. It is respectfully requested that the cited reference be expressly considered during the prosecution of this application, and the reference be made of record therein and appear among the "references cited" on any patent to issue therefrom.

The reference was cited by the International Search Authority in the corresponding PCT application. A copy of the Search/Examination report corresponding to the PCT application is enclosed.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

GAIGER et al.

Page 2

Application No.: 10/057,475

representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement.

However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Carol A. Fang Reg. No. 48,631

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, Eighth Floor San Francisco, California 94111-3834

Tel: 415-576-0200 Fax: 415-576-0300

CAF:ath 60117069 v1

PTO/SB/08B (08-03)

Substitute for form 1449B/PTO Complete if Known 10/057,475 Application Number **INFORMATION DISCLOSURE** January 22, 2002 Filing Date STATEMENT BY APPLICANT First Named Inventor Gaiger, Alexander Art Unit 1645 (use as many sheets as necessary) Examiner Name Not yet assigned Attorney Docket Number 014058-014402US Sheet of

| U.S. PATENT DOCUMENTS+ |              |  |                             |  |   |
|------------------------|--------------|--|-----------------------------|--|---|
|                        |              | Document Number                          |                             |  |   |
| Examiner Initials*     | Cite<br>No.1 | Number Kind Code <sup>2</sup> (if known) | Publication Date MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                        |              |  |                             |  |   |

|      |                           |                     | FOREIGN PA                        | TENT DOCUME                    | NTS                                   |  |   |
|------|---------------------------|---------------------|-----------------------------------|--------------------------------|---------------------------------------|--|---|
| Cito | Fore                      | eign Patent Doo     | cument                            |                                | Name of Patentee or                   | Pages, Columns, Lines,<br>Where Relevant                                     |   |
| No.1 | Country Code <sup>3</sup> | Number <sup>4</sup> | Kind Code <sup>s</sup> (if known) | Publication Date<br>MM-DD-YYYY | Applicant of Cited<br>Document        | Passages or Relevant<br>Figures Appear                                       | T®  |
|      | Cite<br>No.1              | Cite<br>No.1        | Cite<br>No.1                      | Cite No.1                      | Cite No.1 Publication Date MM-DD-YYYY | Cite No.1 Publication Date MM-DD-YYYY Name of Patentee or Applicant of Cited | Cite No.¹  Foreign Patent Document  Publication Date MM-DD-YYYY  Name of Patentee or Applicant of Cited Passages or Relevant Passages or Relevant |

| NON PATENT LITERATURE DOCUMENTS |              |  |                |  |
|---------------------------------|--------------|--|----------------|--|
| Examiner Initials *             | Cite<br>No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.            | T <sup>2</sup> |  |
|                                 | 1            | FUJII, Y. et al. (June 21, 2001). "Homo sapiens mRNA for membrane protein FOAP-12, complete cds". Accession Number: AB027233; Gl: 14517605 Database Genbank on NCBI Available Web Site: www.ncbi.nlm.nih.gov/entrez/query.fcgi?db=Nucleotide Accessed on: October 14, 2003 |                |  |

| Evenines  | Date   |  |
|-----------|--|--|
| Examiner  | The state of the s |  |
| Signature | Considered   |  |

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.